

JUL 27 MAR 2002

FORM PTO-1390
(REV. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

1175/66964

U.S. APPLICATION NO. (if known, see 37 CFR 1.5

10/089291
Not yet known

INTERNATIONAL APPLICATION NO.

PCT/DK00/00558

INTERNATIONAL FILING DATE

October 5, 2000

PRIORITY DATE CLAIMED

October 7, 1999

TITLE OF INVENTION

WIND POWER PLANT

APPLICANT(S) FOR DO/EO/US

Lorenz Feddersen

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.325.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Please see the attached sheet.

| | | | | | |
|---|--------------|---|------------|--|----|
| U.S. APPLICATION NO. (if known) (37 CFR 1.53) Not yet known | | INTERNATIONAL APPLICATION NO. PCT/DK00/00558 | | ATTORNEY'S DOCKET NUMBER 1175/66964 | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS PTO USE ONLY | |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$ 890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 9 - 20 = | 0 | x \$18.00 | \$ 0.00 | |
| Independent claims | 1 - 3 = | 0 | x \$84.00 | \$ 0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$280.00 | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 890.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | + | |
| SUBTOTAL = | | | | \$ 890.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ 890.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 930.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | charged: | \$ |

- a. ☒ A check in the amount of \$ 930.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 03-3125. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Donald S. Dowden
Cooper & Dunham LLP
Avenue of the Americas
New York, New York 10036
(212) 278-0400

Donald S. Dowden
SIGNATURE
Donald S. Dowden
NAME
20,701
REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lorenz Feddersen
Serial No. : Not yet assigned
Filed : Herewith
For : WIND POWER PLANT
International
Application No. : PCT/DK00/00558
Priority Date
Claimed : October 7, 1999
Group : Unknown
Examiner : Unknown

20. List of Other Items of Information

1. Express Mail Certificate of Mailing with Label No. EL013823155US;
2. 9-page Specification along with formal drawings (5 sheets);
3. Copy of published international application WO 01/25628 A2 without search report;
4. Copy of published international application WO 01/25628 A3 with search report;
5. Copy of PCT Request;
6. Copy of Notification Concerning Submission of Priority Document;
7. Copy of Notice Informing the Applicant of the Communication of the International Application to the Designated Offices;
8. Copy of Information Concerning Elected Offices Notified of Their Election;
9. Copy of PCT Demand;
10. Copy of Reply dated October 2, 2001;
11. Copy of PCT Notification of Transmittal of the International Preliminary Examination Report;
12. Copy of PCT Notification of the Recording of a Change;
13. Communication Re Declaration and Power of Attorney and Address for Correspondence;
and
14. Return Postcard.

27 MAR 2002

Docket No. 1175/66964

10/089291

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lorenz Feddersen
Serial No. : Not yet assigned
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Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
March 27, 2002

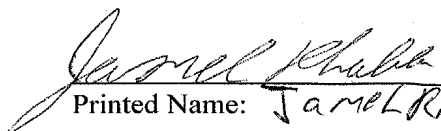
Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

SIR:

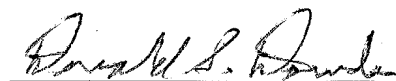
EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EL013823155US
Date of Deposit: March 27, 2002

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231: National Phase II of PCT application, including Transmittal Letter under 35 USC §371 (in duplicate); Express Mail Certificate of Mailing with Label No. EL013823155US; Preliminary Amendment; 9-page Specification along with formal drawings (5 sheets); Copy of published international application WO 01/25628 A2 without search report; Copy of published international application WO 01/25628 A3 with search report; Copy of PCT Request; Copy of Notification Concerning Submission of Priority Document; Copy of Notice Informing the Applicant of the Communication of the International Application to the Designated Offices; Copy of Information Concerning Elected Offices Notified of Their Election; Copy of PCT Demand; Copy of Reply dated October 2, 2001; Copy of PCT Notification of Transmittal of the International Preliminary Examination Report; Copy of PCT International Preliminary Examination Report; Copy of PCT Notification of the Recording of a Change; Communication Re Declaration and Power of Attorney and Address for Correspondence; Declaration and Power of Attorney (executed); PTO Form 1595 and Assignment (executed); Check in the amount of \$930.00; and Return Postcard.


Printed Name: Jamel Rhass

Respectfully submitted,


Donald S. Dowden
Registration No. 20,701
Attorney for Applicant

10/089291

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

04/05/2002 UEDUVIJE 00000097 10089291

01 FC:970

890.00 DP

PTO-1556

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